



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Frank P. Engels et al.

Appln. No.: 10/603,548

Filed: June 25, 2003

For: CONNECTOR ROD

Attorney Docket No: 10541-1822

Examiner: Unknown

Art Unit: 3616

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
3,113,787	12/10/63	Neal H. Lauderdale
3,605,934	09/20/71	B.J. Millard
3,834,727	09/10/74	Adams
3,938,822	02/17/76	Guerriero
3,950,006	04/13/76	Wood, Jr.
4,059,361	11/22/77	Allison
4,146,340	03/27/79	Smith, Jr.
4,187,033	02/05/80	Zukowski
4,822,073	04/18/89	Tanahashi et al.
4,887,486	12/19/89	Wood, Jr.
4,953,894	09/04/90	Broszat et al.
4,995,755	02/26/91	Hyodo et al.
5,100,165	03/31/92	Hespelt
5,116,159	05/26/92	Kern, Jr. et al.
5,405,162	04/11/95	Chun
5,522,281	06/04/96	Herman
5,529,316	06/25/96	Mattila
5,799,968	09/01/98	Loeffler
6,019,383	02/01/00	Kociba et al.
6,119,550	09/19/00	Engler et al.
6,164,860	12/26/00	Kondo
6,422,779	07/23/02	Spagnuolo

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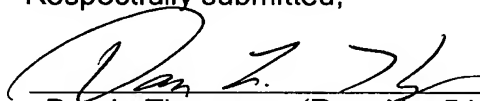
A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. § 1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

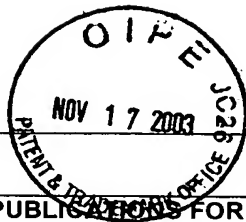
Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

11-12-2003  
Date

  
\_\_\_\_\_  
Dan L. Thompson (Reg. No. 54,490)  
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (one sheet)  
Copies of listed references



FORM PTO-1449	APPLICATION NO. 10/603,548	CASE NO. 10541-1822
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE June 25, 2003	ART UNIT 3616
APPLICANT(S): Frank P. Engels, et al.		

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	3,113,787	12/10/63	Neal H. Lauderdale		05/16/61
	3,605,934	09/20/71	B.J. Millard		06/30/69
	3,834,727	09/10/74	Adams		03/21/72
	3,938,822	02/17/76	Guerriero		10/04/74
	3,950,006	04/13/76	Wood, Jr.		05/21/74
	4,059,361	11/22/77	Allison		07/02/76
	4,146,340	03/27/79	Smith, Jr.		11/07/77
	4,187,033	02/05/80	Zukowski		09/16/77
	4,822,073	04/18/89	Tanahashi et al.		03/18/88
	4,887,486	12/19/89	Wood, Jr.		02/22/88
	4,953,894	09/04/90	Broszat et al.		11/08/88
	4,995,755	02/26/91	Hyodo et al.		05/23/89
	5,100,165	03/31/92	Hespelt		10/31/90
	5,116,159	05/26/92	Kern, Jr. et al.		08/16/91
	5,405,162	04/11/95	Chun		07/30/92
	5,522,281	06/04/96	Herman		10/31/94
	5,529,316	06/25/96	Mattila		02/16/95
	5,799,968	09/01/98	Loeffler		02/24/95
	6,019,383	02/01/00	Kociba et al.		01/28/98
	6,119,550	09/19/00	Engler et al.		09/08/98
	6,164,860	12/26/00	Kondo		05/25/99
	6,422,779	07/23/02	Spagnuolo		01/18/00

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Frank P. Engels et al.  
Appln. No.: 10/603,548  
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Attorney Docket No: 10541-1822

Examiner: Unknown  
Art Unit: 3616

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 with copies of references.
- ☒ Return Receipt Postcard

Fee calculation and payment:

- ☒ No additional fee is required.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		or	Small Entity	
					Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+ \$290=	
					Total	\$		Total	\$

Fee calculation and payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 06-1500 (VISTEON GLOBAL TECHNOLOGIES, INC.) in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

11-12-2003  
Date

Dan L. Thompson  
Dan L. Thompson (Reg. No. 54,490)  
Attorney/Agent for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: 11-12-03 Name: Shirley Courey Signature: Shirley Courey

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